

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:

Alan J. LIPTON et al.

Art Unit: 2621

Application No: 09/987,707

Examiner: Tung T. VO

Confirmation No: 7303

Filed: November 15, 2001

Atty. Docket No: 37112-175340

For: VIDEO SURVEILLANCE SYSTEM  
EMPLOYING VIDEO PRIMITIVES

Customer No:

26694

PATENT TRADEMARK OFFICE

**REQUEST FOR REFUND**

MS Refunds  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On November 1, 2007, Applicants filed a Two month Petition for Extension of Time in the above-identified patent application. Upon the filing of the Petition for Extension of Time, Applicants submitted a Fee Transmittal (Form PTO/SB/21) with a charge of \$460 to Applicants' Deposit Account 22-0261, along with the authorization to charge any additional fees under 37 CFR 1.16 and 1.17. On November 2, 2007, our deposit account was charged a fee of \$460 for this submission. Copies of the Applicants Patent Prosecution Receipt of Filing, Applicants Petition for Extension of Time Transmittal (Form PTO/SB/22) of Filing stamped November 1, 2007 and Fee Transmittal (Form PTO/SB/21), indicating payment of the \$460, are attached. A statement for the Deposit Account No. 22-0261 indicating that \$460 was charged thereto on November 2, 2007 is enclosed.

Applicants hereby submit that this charge was made in error as the instant application claims small entity status. Applicants therefore respectfully request a refund in the amount of \$230. Please refund the \$230 fee by crediting the refunded amount to our Deposit Account No. 22-0261, and notify the undersigned accordingly.

Dated: 9/4/09

Respectfully submitted,

By 

Michael A. Sartori, Ph.D.

Registration No.: 41,289

(Lg. No. 60, 309)

VENABLE LLP

P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant

# PATENT PROSECUTION RECEIPT OF FILING

**142926**

**Venable Filing Number**

**Atty. Docket No:** 37112-175340

**Title of Application:** VIDEO SURVEILLANCE SYSTEM EMPLOYING VIDEO PRIMITIVES

**Application No:** 09/987,707

**Patent No.:**

**Attorney/LAA:** MAS/MVZ/cib

**PTO Due Date:** Nov. 10, 2007

**Current Date:** Nov. 1, 2007

**Filing Date:** November 15, 2001

**Issue Date:**

The following items were received from Venable LLP, Washington, D.C.,  
by the U.S. Patent & Trademark Office on the date stamped hereon:

## U.S. PTO FEES ENCLOSED

- ☒ Transmittal Letter
- ☒ Fee Transmittal Letter
- \_\_\_ New U.S. Patent Application  
( pages of specification/claims)
- \_\_\_ Rule 53(d) Continued Prosecution Application
- \_\_\_ Rule 53(b) Continuation or Divisional Application
- \_\_\_ **(attach copy of specification, claims, drawings and declaration)**
- \_\_\_ U.S. National Stage Application of PCT Application
- \_\_\_ **Request for Continued Examination (RCE) under 37 CFR 1.114**
- \_\_\_ Application Data Sheet
- \_\_\_ Substitute Specification
- \_\_\_ Priority Document-Cert. Copy of
- \_\_\_ Appln.#. ; Country: ; Date Filed:
- \_\_\_ Formal Drawings ( sheets, Figs.)
- \_\_\_ Inventor Declaration
- \_\_\_ Assignment w/Cover Sheet
- \_\_\_ Response to Notice to File Missing Parts
- \_\_\_ Response to Notice to File Missing Requirements
- \_\_\_ Response to Requirement
- ☒ Information Disclosure Statement with Form PTO/SB/08a (8 citations)
- \_\_\_ Response
- \_\_\_ **Amendment**
- ☒ Petition/Request for Extension of Time (2 mo. ext.)
- \_\_\_ Power of Attorney
- \_\_\_ Petition to Revive
- \_\_\_ Sequence Listing – CDR Enclosed? Yes No
- \_\_\_ Request for Non-Publication
- \_\_\_ Request to Rescind Non-Publication Request
- \_\_\_ Reply Brief (*in triplicate*) / Request for Oral Hearing
- \_\_\_ Confirmation of Hearing Petition
- \_\_\_ Issue Fee Transmittal
- \_\_\_ Certificate of Correction
- \_\_\_ Maintenance Fee Transmittal
- \_\_\_ Status Inquiry
- ☒ **Other: (Please describe below)**
- \_\_\_ Copies of (5) references
- \_\_\_ Copy of JP Office Action w/translation
- \_\_\_ Letter to USPTO



- \_\_\_ Filing Fee
- \_\_\_ Surcharge Fee
- \_\_\_ **Additional Claims Fee**
- \_\_\_ Recordation/Indexing Fee
- \_\_\_ **RCE Fee**
- 450  
120.00 Extension Fee *MVZ*
- \_\_\_ Notice of Appeal Fee
- \_\_\_ Brief on Appeal
- \_\_\_ Oral Hearing Request Fee
- \_\_\_ Petition Fee
- \_\_\_ Issue Fee
- \_\_\_ Publication Fee
- \_\_\_ Maintenance Fee
- \_\_\_ Other Fees (Describe)
- 450  
120.00 **Total Fees Paid** *MVZ*

- ☐ Check Number
- ☐ Attached
- ☒ Charge Deposit Account No. 22-0261



Reviewed By: \_\_\_\_\_

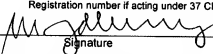
Signature of Attorney

November 1, 2007

Date

*LAH*



<b>APPLICATION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		<b>Docket Number (Optional)</b> 37112-175340	
Application Number 09/987,707-Conf. #7303		Filed Nov. 15, 2001	
For VIDEO SURVEILLANCE SYSTEM EMPLOYING VIDEO PRIMITIVES			
Art Unit 2621		Examiner Tung VO	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application. The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
		<u>Fee</u>	<u>Small Entity Fee</u>
<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$120	\$ _____
<input checked="" type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$460	\$ 460.00
<input type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$1050	\$ _____
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$1590	\$ _____
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$2160	\$ _____
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.			
<input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>22-0261</u> . I have enclosed a duplicate copy of this sheet.			
I am the <input type="checkbox"/> applicant/inventor.			
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71.			
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/95).			
<input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>41,289/53,825</u>			
<input type="checkbox"/> attorney or agent under 37 CFR 1.34.			
Registration number if acting under 37 CFR 1.34 _____			
 _____ Signature		_____ Nov. 1, 2007 Date	
Michael A. Sartori, Ph.D. / Marina V. Zalevsky _____ Typed or printed name		_____ (202) 344-4000 Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input checked="" type="checkbox"/> Total of <u>1</u> forms are submitted.			

#905775

11/02/2007 JADD01 00000040 220261 09907707  
01 FC:1252 468.00 DA



Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 01/31/2007. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

<b>Effective on 12/08/2004.</b> Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4418).		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2008</b>		Application Number	09/987,707-Conf.#7303
		Filing Date	November 15, 2001
		First Named Inventor	Alan J. Lipton
		Examiner Name	Tung Vo
		Art Unit	2621
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	37112-175340
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 460.00			

**METHOD OF PAYMENT** (check all that apply)

☐ Check    ☐ Credit Card    ☐ Money Order    ☐ None    ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account    Deposit Account Number: 22-0261    Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below    ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17    ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_  
 HP = highest number of total claims paid for, if greater than 20

Indep. Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_  
 HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets: \_\_\_\_\_ Extra Sheets: \_\_\_\_\_ Number of each additional 50 or fraction thereof: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_  
 - 100 = \_\_\_\_\_ /50 \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_

Other (e.g., late filing surcharge): EOT 2mo \_\_\_\_\_ \$460.00

**SUBMITTED BY**

Signature	Registration No. 41,289	Telephone (202) 344-4000
Name (Print/Type) Michael A. Santori, Ph.D. Marina V. Zalevsky	(Attorney/Agent) 53,825	Date Nov. 1, 2007

#903437

2007

11/01 19094 0200130231	80663-244356	8014	\$250.00	\$52,786
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